

A Member of the Tokio Marine Group

One Bala Plaza, Suite 100 Bala Cynwyd, PA 19004

CRAFT BREWERY & DISTILLERY SUPPLEMENTAL APPLICATION

SUBMISSION REQUIREMENTS

- Completed, signed, and dated PHLY Craft Beverage Supplemental Application
- Completed ACORD Application(s) for all lines of coverage being requested
- Currently valued insurance company loss runs for current policy period plus three (3) prior years
- Brochure and advertising materials
- · Color photos of brewing/ distilling equipment and storage area
- Resume of owner and/or brew master/head distiller, and business plan including financials for operations in business less than three (3) years

APPLICANT INFORMATION

Applicant Name: Website Address: Year Business Established: Liquor License Number: Association Memberships Held: Risk Management Contact: Risk Manager Email:

FEIN:

Risk Manager Phone:

SECTION I - PRODUCTION & REVENUE INFORMATION

Barrels produced prior year: Revenues prior year: \$ Size of brewing/ distilling system: Barrels projected current year: Projected revenues current year: \$

Radius of travel:

Manufacturing Revenue Per Location:

Beer – Kegs	\$
Beer – Bottles	\$
Beer – Cans	\$
Liquor / Spirits – Insured's Brand(s)	\$
"To Go / Carry Out" Beer / Liquor (Growlers, Kegs, 6 Packs, etc.)	\$

On-Site Tap / Tasting Room Revenue Per Location:

Beer – Draft	\$
Beer – Bottles	\$
Beer – Cans	\$
Liquor / Spirits – Insured's Brand(s)	\$
Wine / Other Branded Beer or Liquor/ Spirits (please describe):	\$
Food / Non-Alcoholic Beverages	\$
Merchandise / Gift Shop	\$

^{1.} Does the Applicant manufacture and/ or package other beverages? If yes, please explain:

- 2. What is the Applicant's distribution area?
- Does the Applicant distribute any products themselves? If yes, number of vehicles used:

Craft Brewery & Distillery Supplemental

Yes

Yes No

No

4.	Does the Applicant export any product? If yes, what percentage of sales: % To what countries:	Yes	No
	SECTION II - POLICIES & PROCEDURES		
1. 2.	Does the Applicant have a formal Product Recall Plan in place? Has the Applicant ever had a product contamination incident or had to recall a product? If yes, provide details, including cost incurred:	Yes Yes	No No
3.	Does the Applicant currently have Product Contamination or Recall Insurance? If yes, what limits and deductible: \$ Deductible: \$ If yes, who is the carrier: Does the Applicant have knowledge of any fact or circumstances which may lead to a claim under	Yes	No
4.	the proposed insured? How are the Applicant's products identified as an item you have produced?	Yes	No
5.	How long are production records maintained:	X	
6.	 a. Is this longer than the life expectancy of the product? Does the Applicant maintain product records on the following: 	Yes	No
•••	a. Raw materials	Yes	No
	b. Quality controls records	Yes	No
	c. Raw material suppliers information	Yes	No
	d. Purchasers information	Yes	No
7.	Is a batch code system utilized?	Yes	No
	a. Is this system able to trace back to raw materials?	Yes	No
8.	Does the Applicant have a formal Quality Assurance program?	Yes	No
9.	Does the Applicant have a formal Supply Assessment program of its suppliers?	Yes	No
10.	Does the Applicant perform audits on its' suppliers' Quality Assurance procedures?	Yes	No
11.	Is the Applicant accredited with good manufacturing practices which include HACCP principals	V	N
10	such as SQF, FSSA 22000, or ISO?	Yes	No
12.	\mathbf{v}	Yes	No
13.	Is a certificate and additional insured status required from all vendors?	Yes	No
14.	Is product testing utilized by the Applicant's company? If yes, please describe the testing procedures utilized by the Applicant's company? (e.g. microbiological, x-ray, metal detections, steam / heat pasteurization, irradiation)	Yes	No
15.	Are "test and hold" procedures utilized at the Applicant's site?	Yes	No
16.		Yes	No
17.		Yes	No
18.	suppliers? %		
19.	Provide copies of contracts with glass suppliers. Are there any oral or written agreements in place with the Applicant's glass suppliers that bar the Applicant or their insurer from seeking redress against glass suppliers or otherwise limit the		
	Applicant's liability in any way to glass suppliers?	Yes	No
20.	Are tours of the brewing / distilling production areas provided?	Yes	No
	a. Is there always an employee tour guide?	Yes	No
	b. Are samples provided and ID's checked for samples?	Yes	No

	SECTION III - BREWING / DISTILLING AND REFRIGERATION EQUIPMENT					
	Was the equipment purchased new? What is the barrel capacity of the equipment? Please provide details of the sanitation procedure:		Yes	No		
4. 5. 6. 7.	What country(ies) was the brewing/ distilling equipment manufactured in: Is there a regular service plan in place for all brewing/ distilling and refrigeration equipment? How many boilers are used at each location to provide process steam: Who is the manufacturer and what is the construction type of each boiler:		Yes	No		
8.	What is the expiration date of each boiler's state/ local certificate of operation:					
9. 10.	How old is the boiler and brewing equipment at each facility: Number of losses/ claims made for equipment breakdown over the past five years: Please provide details of each event.					
11. 12.	How often is the Applicant's equipment examined for leaks? Are generators used for power back-up in the event of a power interruption? If yes, how long will the generators sustain operations?		Yes	No		
	SECTION IV - PROPERTY INFORMATION					
1.	Is the building on any historical registry (local, state, or federal)? If yes, what are the re-build requirements?		Yes	No		
2.		of age.	Yes Yes Yes	No No No		
2. 3.	If yes, what are the re-build requirements? Is the building over 100 years old? If yes, complete a PHLY 100 Year Old Building Supplemental for each building over 100 years Are there other businesses in the building? If yes, list other businesses:	of age.	Yes Yes	No No		
2.	If yes, what are the re-build requirements? Is the building over 100 years old? If yes, complete a PHLY 100 Year Old Building Supplemental for each building over 100 years Are there other businesses in the building?	of age.	Yes	No		
2. 3.	If yes, what are the re-build requirements? Is the building over 100 years old? If yes, complete a PHLY 100 Year Old Building Supplemental for each building over 100 years Are there other businesses in the building? If yes, list other businesses: Does the Applicant mill its own grain?	of age.	Yes Yes	No No		
2. 3. 4. 5. 6. 7.	If yes, what are the re-build requirements? Is the building over 100 years old? If yes, complete a PHLY 100 Year Old Building Supplemental for each building over 100 years Are there other businesses in the building? If yes, list other businesses: Does the Applicant mill its own grain? If yes, provide details of ventilation, dust control, and room details: Are operations conducted from a residential location? Is aging /storage in a separate building from the still house? What type of still is used? Open System Closed System What is the heating source of the still? Electric Gas Steam Other: Explosion Proof Electrical Connections? If yes: Distance from the still / brewing equipment, condenser, containers, etc.:	of age. feet	Yes Yes Yes Yes	No No No		

10. 11. 12. 13.	Pressure Relief? Pressure Monitoring Alarms? High Temperature Limit Alarm? Property Values: Value of Brewing / Distilling Equipment (bolted to the ground) Value of Brewing / Distilling Equipment (not bolted to the ground) Value of Raw Materials on hand (average) Value of Inventory (aging in barrels or fully finished)	\$ \$ \$ \$	Yes Yes Yes	No No No
	SECTION V - LIQUOR LIABILITY	Y		
1. 2.	Are all employees and volunteers who serve alcohol L.E.A.D. and / or T Has the Applicant's liquor license ever been revoked or suspended? If yes, when and explain:	I.P.S. or similar trained?	Yes Yes	No No
3.	Have there ever been any citations by a liquor control board? If yes, when and explain:		Yes	No
4.	What controls are there to prevent over serving:			

5. What are the procedures for handling an intoxicated patron:

		SECTION VI	- BEVERAGE AND FOOD SER	VICE INFORMATION		
1.	Does the Ap If yes:	plicant operate a tasti	ng room/ restaurant?		Yes	No
	a. What ar b. Are ther c. Number	e the hours of operation re drink specials or a "I r of drinks or samples a drinks or samples serv	nappy hour"? allowed:		Yes	No
2.	e. Seating	capacity: plicant operate a kitch			Yes	No
	 a. What ar b. Indicate Co Pi; c. Are cool d. How ofte i. V e. How ofte f. How ofte 	ommercial ovens zza ovens king areas protected b en are grease filters cl Vhat is the cleaning m en is the hood and duc en is the suppression	oment (check all that apply): Deep fat fryers No cooking present y a UL300? eaned: ethod: ct work cleaned: system inspected and serviced:	Open flame grills Other (explain):	Broilers Yes	No
	h. Do cook i. If there a	are deep fat fryers, do	ted and serviced: utomatic fuel shut-off valves? they have high limit switches? use of the extinguishing system?		Yes Yes Yes	No No No

SECTION VII - ENTERTAINMENT AND EVENT INFORMATION 1. Is there a dance floor on the premises? Yes No 2. Is there any live entertainment? Yes No If yes, please explain: 3. Does the Applicant hold events at the facility? Yes No If yes: a. What type: b. What is the number of people permitted: c. What safety controls are there: d. Are facility renters required to obtain Event Insurance and name the Applicant's operation as an Additional Insured? Yes No e. As host of events which exceed normal operations (hours, space, capacity) does the Applicant obtain Special Events Insurance coverage? Yes No Does the Applicant attend off premises events? 4. Yes No If yes: a. What type(s): b. Average number per year:

c. What safety controls are there:

WINTER WEATHER FREEZE-UP PROTECTION

This section must be completed by all risks that have a location in one of the following states: AR, CT, DC, DE, GA, IL, IN, KY, ME, MD, MA, MI, MO, NH, NY, NJ, NC, OH, PA, RI, SC, TN, TX, VT, VA, WV, WI

1.	 Fire Protection and Testing a. Is the building provided with an Automatic Fire Sprinkler System (AS)? i. If yes, approximately what percentage (%) of the building is sprinklered? ii. If yes, what type of sprinkler system is installed? Wet-Pipe Dry-Pipe iii. If yes, when possible, is the sprinkler piping primarily run within conditioned areas designed to ensure the temperature remains above the 45°F minimum 	Yes % Both	No	N/A
	 temperature? 1. If no, please describe freeze prevention measures (e.g. temperature monitoring, heat trace, full insulation on piping or roof): 	Yes	No	N/A
	iv. If yes, is the testing & inspection by qualified sprinkler contractor completed			
	within past 12 months & includes a formal winterization review?	Yes	No	N/A
~	v. If yes, are the alarms tied to a 24 hour UL listed monitoring company?	Yes	No	N/A
2.	Emergency Water Response (domestic and AS water lines)			
	a. Are water shutoff valves (domestic and AS water lines) marked and readily accessible?	Yes	No	N/A
		Yes		N/A
	b. Are water shutoff valves exercised (closed and reopened) at least annually?c. Is the staff gualified to respond and shut off the water main during normal business	res	No	IN/A
	c. Is the staff qualified to respond and shut off the water main during normal business hours and off hours?	Yes	No	N/A
3.		165	NO	N/A
5.	a. For domestic water lines, is there a water flow detection, notification and automatic			
	shutoff?	Yes	No	N/A
4.	Unused/Vacant Spaces	100	110	1.07
	a. Does Applicant have a formal process to turn off and drain domestic water lines for			
	these spaces?	Yes	No	N/A
5.	Unheated Areas (attics, crawl spaces, exterior wall joists)			
	 a. Are all domestic water lines located in areas heated to at least 45°F? i. If no, please describe freeze prevention measures (e.g. temperature monitoring, heat trace, full insulation): 	Yes	No	N/A

6. General Comments:

FRAUD STATEMENT AND SIGNATURE SECTIONS

The Undersigned states that he/she is an authorized representative of the Applicant and declares to the best of his/her knowledge and belief and after reasonable inquiry, that the statements set forth in this Application (and any attachments submitted with this Application) are true and complete and may be relied upon by Company * in quoting and issuing the policy. If any of the information in this Application changes prior to the effective date of the policy, the Applicant will notify the Company of such changes and the Company may modify or withdraw the quote or binder.

The signing of this Application does not bind the Company to offer, or the Applicant to purchase the policy. *Company refers collectively to Philadelphia Indemnity Insurance Company and Tokio Marine Specialty Insurance Company

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NAME (PLEASE PRINT/TYPE)

TITLE (MUST BE SIGNED BY THE PRESIDENT, CHAIRMAN, CEO OR EXECUTIVE DIRECTOR)

SIGNATURE

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ADDRESS (STREET, CITY, STATE, ZIP)

Craft Brewery & Distillery Supplemental



A Member of the Tokio Marine Group

One Bala Plaza, Suite 100 Bala Cynwyd, PA 19004

Underwritten by: Philadelphia Indemnity Insurance Company

CYBER SECURITY LIABILITY ENDORSEMENT – SUPPLEMENTAL QUESTIONNAIRE

Name of Applicant: Address of Applicant: City: Website: www: Nature of Operations:	State:	Zip:	

1. Annual sales or revenue: \$

2.	belo	es the Applicant collect, store or otherwise handle any Personally Identifiable Information (PII) onging to customers, clients, or other third parties, other than employees? es, please indicate the types of Personally Identifiable Information held (check all that apply):	Yes	No
		 Social Security Numbers, Bank or Other Financial Account Details, Driver's License or other State Identification Numbers 		
		b. Non-public Medical or Healthcare Data, including Protected Health Information (PHI)		
		c. Credit or Debit Card Information		
3.	a.	During the last three (3) years, has anyone alleged that the Applicant was responsible for damage to their computer system(s) arising out of the operation of the Applicant's computer system(s)?	Yes	No
	b.	During the last three (3) years, has anyone made a demand, claim, complaint, or filed a lawsuit against the Applicant alleging invasion or interference of rights of privacy or the inappropriate disclosure of Personally Identifiable Information (PII)?	Yes	No
	C.	During the last three (3) years, has the Applicant been the subject of an investigation or action by any regulatory or administrative agency for privacy-related violations?	Yes	No
	d.	Is the Applicant aware of any circumstance that could reasonably be anticipated to result in a claim being made against them for the coverage being applied for?	Yes	No

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